

## PART B - FEE(S) TRANSMITTAL

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 Commissioner for Patents  
 P.O. Box 1450  
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B

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04/10/2006

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ERIC B. MEYERTONS

MEYERTONS, HOOD, KIVLIN, KOWERT &amp; GOETZEL, P.C.

P O BOX 398

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## Certificate of Mailing

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Jeaneaux Jordan	(Depositor's name)
<i>Jeaneaux Jordan</i>	(Signature)
July 3, 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/629,538	07/29/2003	Samuel Fournier Lockwood	5777-00201/EBM	6864

TITLE OF INVENTION: STRUCTURAL CAROTENOID ANALOGS FOR THE INHIBITION AND AMELIORATION OF DISEASE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
Nonprovisional	Yes	\$0.00	\$0.00	\$0.00	07/10/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
Solola, Taofiq A.	1626	549-473000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.2 Eric B. Meyertons

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE:

Hawaii Biotech, Inc.

(B) RESIDENCE (CITY &amp; STATE OR COUNTRY):

Aiea, Hawaii

Please check the appropriate assignee category indicated below (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☐ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

☒ Please apply previously paid issue fee and advanced order fee.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1505/5777-00201/EBM (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Mark R. DeLuca*

Date

7/13/06

Typed or printed name

Mark R. DeLuca

Registration No: 44,649

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount to time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. 1450, Alexandria, VA 22313-1450.

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## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/629,538  
Confirmation No.: 6864  
Filed: July 29, 2003  
Inventor(s):  
Lockwood et al.

Examiner: Solola, Taofiq A.  
Art Unit: 1626  
Atty. Dckt. No: 5777-00201/EBM


Title: STRUCTURAL  
CAROTENOID ANALOGS  
FOR THE INHIBITION  
AND AMELIORATION  
OF DISEASE

**CERTIFICATE OF MAILING**  
**UNDER 37 C.F.R. §1.8**

DATE OF DEPOSIT: July 3, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

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### **PAYMENT OF ISSUE FEE**

**MAIL STOP: ISSUE FEE**

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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed:

- 1) Issue Fee Transmittal Form PTOL-85B (1 page); and
- 2) A return postcard evidencing receipt of these materials.

Lockwood et al.  
10/629,538

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5777-00201/EBM.

Respectfully submitted,



Mark R. DeLuca  
Reg. No. 44,649

Patent Agent for Applicant

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.

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